“APPROVED”:
By the decision of the Exchange Committee
Ukrainian Energy Exchange Limited Liability Company
Minutes of the meeting of the Exchange Committee
No. 1824 dd. October 14, 2024
Chairman of the Exchange Committee

_____ / Oleksii DUBOVSKYI /

REGULATIONS
for organizing and conducting electronic auctions for the purchase and sale of guarantees of origin of electricity generated from renewable energy sources on the commodity exchange - Ukrainian Energy Exchange Limited Liability Company

Kyiv, 2024

1. GENERAL PROVISIONS

1.1. Regulations for organizing and conducting electronic auctions for the purchase and sale of guarantees of origin of electricity generated from renewable energy sources on the commodity exchange - Ukrainian Energy Exchange Limited Liability Company (hereinafter referred to as the Regulations) have been developed in pursuance of and in accordance with the internal documents of Ukrainian Energy Exchange Limited Liability Company, the Law of Ukraine “On the Electricity Market”, “On Alternative Energy Sources” and “On Electronic Identification and Electronic Trust services”procedure for the issuance, circulation and redemption of guarantees of origin of electricity, approved by the Resolution of the Cabinet of Ministers of Ukraine No. 227 dd. 27.02.2024 (hereinafter - Procedure No. 227), and other regulatory acts governing the functioning of the electricity market.
This Regulations defines the mechanism for organizing and conducting electronic auctions for the purchase and sale of guarantees of origin of electricity generated from renewable energy sources, namely: it regulates the procedure for interaction between the Ukrainian Energy Exchange Limited Liability Company as the auction organizer and auction participants, determines the sequence of actions, terms, deadlines, procedure and form of their performance during the organization and conduct of auctions, as well as measures to manage the risks of non-compliance (non-performance) by auction participants.

1.2. This Regulations does not apply to auctions held in accordance with the “Procedure for conducting electronic auctions for the sale of electricity under bilateral contracts” approved by the Resolution of the Cabinet of Ministers of Ukraine No. 499 dd. June 5, 2019, “Regulation on the imposition of special obligations on electricity market participants to ensure public interests in the functioning of the electricity market” approved by the Resolution of the Cabinet of Ministers of Ukraine No. 483 dd. June 5, 2019 and “Procedure of the execution of electricity export by guaranteed buyer" aproved by Resolution of the Cabinet of Ministers of Ukraine dd. March 12, 2024 № 282 as well as for auctions, the organization and conduct of which is provided for by the rules for organizing and conducting electronic auctions for the purchase and sale of electricity under bilateral contracts in commercial sections on the commodity exchange - Ukrainian Energy Exchange Limited Liability Company and/or the rules for organizing and conducting electronic auctions for the purchase and sale of electricity under bilateral contracts using the technology of bilateral continuous auction on the commodity exchange - Ukrainian Energy Exchange Limited Liability Company.

1.3. This Regulations apply to auctions for the purchase and sale of guarantees of origin of electricity generated from renewable energy sources (hereinafter referred to as GO).

1.4. This Regulations and amendments (supplements) thereto shall be approved by the decision of the Exchange Committee of the Ukrainian Energy Exchange Limited Liability Company (hereinafter referred to as the Exchange Committee) and shall be binding on all auction participants from the moment such Regulations (amendments) comes into force.

1.5. All concepts and terms used in this Regulations shall be defined and applied in accordance with the current legislation of Ukraine, except for those defined in this clause:

1.5.1. **automated workplace** means a workplace from which the auction participant accesses the BETS software;

1.5.2. **PP BETS Administrator -** a representative of the Auction Organizer who is authorized to monitor compliance by the auction participants with the Rules during the organization and conduct of the auction, conduct the auction, verify the receipt of the guarantee fee, control the signing of auction certificates, detect signs of manipulation, violations and perform other actions in accordance with the provisions of these Rules;

1.5.3. **Customer Questionnaire (Customer Questionnaire at the Commodity Exchange -** Ukrainian Energy Exchange Limited Liability Company) - a document approved by the Regulations on the Customer Questionnaire at the Commodity Exchange - Ukrainian Energy Exchange Limited Liability Company, developed for the purpose of the Exchange's due diligence of customers in accordance with the requirements of the Law of Ukraine “On prevention and counteraction to legalization (Laundering) of proceeds of crime, terrorist financing and financing of proliferation of weapons of mass destruction”;

1.5.4. **auction -** an electronic auction for the purchase and sale of guarantees of origin of electricity generated from renewable energy sources, the organization and conduct of which is ensured by the Auction Organizer;

1.5.5. **auction certificate -** a document certifying the fact of the purchase and sale of a certain number of valid (authorized) GO for circulation at a certain price, which is generated by the Auction Organizer in PP BETS, signed by the authorized persons of the buyer, seller and the Auction Organizer by adding an electronic signature and is the basis for concluding a contract for the sale and purchase of guarantees of origin of electricity generated from renewable energy sources between the buyer and the seller;

1.5.6. **valid (authorized) for circulation of the GO -** a guarantee of the origin of electricity generated from renewable energy sources, which at the time of the RES auction is allowed for circulation in accordance with the legislation of Ukraine;

1.5.7. **Agreement on the organization and participation in auctions for the purchase and sale of guarantees of origin of electricity produced from renewable energy sources (Agreement on the organization and participation in auctions)** - an agreement concluded on the basis of a public offer between the Auction Organizer and a business entity, which intends to acquire the right to initiate the position(s) within the auction and/or the right to participate in bidding on positions placed by other position initiators, published by the Auction Organizer, in order to sell the GO valid (authorized for circulation) within the auction;

1.5.8. **electronic trading system (ETS) -** Exchange Electronic Trading System software product in the Electric Power version, which is used to organize and conduct auctions, and whose operation and management is provided by the Auction Organizer;

1.5.9. **electronic signature -** electronic data added by the signatory to other electronic data or logically associated with them and used as a signature, within the meaning and definition of Article 1 of the Law of Ukraine "On Electronic Identification and Electronic Trust Services". The following types of electronic signatures may be used in PP BETS: operational key, advanced electronic signature, qualified electronic signature;

1.5.10. **general account -** an account in PP BETS (an analytical account individually determined for each individual auction participant), which accounts for funds deposited by the auction participant to the current account of the Exchange and used by him as security for fulfillment of obligations arising from the results of auctions on various sections of the Auction Organizer (guarantee fee to fulfill the obligation to ensure the signing of auction certificates and the conclusion of contracts for the sale of guarantees of origion of electricity, produced of renewable energy sources, as well as guarrantee fee to pursue obligations of provision of commission fee payment.

1.5.11. **position initiator –** registered in accordance with the requirements of this Regulations, a seller of valid (permitted) GO, who has (acquired) the right to initiate the placement of a position (positions) within the auction;

1.5.12. **qualified electronic signature -** an electronic signature, namely an improved electronic signature, which is created using a qualified electronic signature tool and is based on a qualified public key certificate, and is used to generate an operating key when the auction participant's actions are confirmed in the PP BETS, in particular, but not exclusively, under the time of signing applications for placing a position and/or applications for participation in the auction, auction certificates;

1.5.13. **a user of the GO register** is a natural person, including an individual entrepreneur, or a legal entity for which an account has been created in the GO register;

1.5.14. **auction step** — the minimum value by which the price for 1 GPEE (1 MW•h) can be changed during the trading;

1.5.15. **lot** — indivisible quantity offered for sale (purchase) and equal to 1 GPEE (1 MW•h);

1.5.16. **operational key** - an electronic signature consisting of 32 (thirty-two) characters and generated in the PP BETS when the auction participant's actions are confirmed using an advanced or qualified electronic signature, and is used to automatically sign all legally significant actions of the participant in the PP BETS, except for signing applications for posting positions and/or applications for participation in the auction, auction certificates;

1.5.17. **Auction organizer** is the "Ukrainian Energy Exchange" Limited Liability Company, which ensures the organization and conduct of auctions using the electronic trading system in accordance with this Regulations;

1.5.18. **transfer of GO** – transfer of GO between accounts in the Register of guarantees of origin of electric energy produced from renewable energy sources (hereinafter - the Register of GO), which is carried out on the basis of the Agreement through the purchase and sale of GO in accordance with this Regulations;

1.5.19. **public key certificate –** an electronic document that certifies that the public key belongs to a natural or legal person, confirms its identification data;

1.5.20. **starting price** — the price excluding value added tax (VAT), which is expressed in UAH per 1 GO (1 MW•h) with an accuracy of two (2) decimal places and from which the bidding for a certain position, which was initiated by the initiator of such a position, begins;

1.5.21. **position parties** - position initiator and position participants;

1.5.22. **agreement parties** – position initiator and the position bidder are the winners;

1.5.23. **advanced electronic signature -** an electronic signature created as a result of the cryptographic transformation of electronic data with which this electronic signature is associated, using the means of an advanced electronic signature and a personal key uniquely associated with the signatory, and which enables the electronic identification of the signatory and is used to generate an operating key when the auction participant's actions are confirmed in the PP BETS, in particular, but not exclusively, when signing applications for placing a position and/or applications for participation in the auction, auction certificates;

1.5.24. **position bidder -** registered in accordance with the requirements of this Regulations, a seller and/or buyer of electric energy - a user of the GO Register, who has (acquired) the right to participate in auctions for positions presented by position initiators;

1.5.25. **auction participant** — position initiator and trades participant by positions.

1.6. In order to properly identify the signer of the electronic document, as well as to check the presence of legal grounds for the representation of the legal entity - the auction participant, in whose interests he acts (employment relations, commission agreement, etc.), the public key certificate of the signer must contain information on the name of the legal entity whose interests he represents

1.7. All annexes to the Regulations and internal documents of the Auction Organizer, which are referenced in the Regulations, are an integral and integral part thereof.

1.8. The auction organizer informs all interested persons of the Regulation, as well as changes and additions to it, by posting them on the official website of the auction organizer on the Internet at the address: www.ueex.com.ua. The auction organizer can also use any other methods of informing without exception.

2. GENERAL CONDITIONS OF AUCTIONS CONDUCTION

2.1. The subject of the auctions are valid (allowed for circulation) GO.

2.2. Address of PP BETS on the Internet: https://epbets.ueex.com.ua.

2.3. The instruction for using the PP BETS is posted on the official website of the Auction Organizer on the Internet at the address: www.ueex.com.ua, with access to it provided to the auction participants.

2.4. The work of PP BETS is organized in Ukrainian and English languages.

2.5. Auctions are conducted in compliance with the following general principles:
- fair competition;
- non-discrimination of the parties to the position;
- objectivity and impartiality;
- anonymity (depersonalization) of bidders by position during bidding, in order to minimize the risk of anti-competitive concerted behavior between the parties of the position;
- prevention of corruption and abuse;
- holding auctions exclusively on working days and informing auction participants about the auction in advance;
- free pricing, in order to comply with market principles of pricing;
- equidistance of the Auction Organizer from the auction participants.

2.6. Both the seller and the buyer have the right to initiate the display of position(s) within the auction.

2.7. The form of the contract of purchase and sale of guarantees of origin of electricity produced from renewable energy sources is provided by the initiator of the position.

2.8. Auctions are held on trading days (the business day on which the auction(s) is held) in accordance with the internal work schedule of the Auction Organizer.

2.9. General accounts are used during the organization and conduct of auctions for the purchase and sale of guarantees of origin of electricity produced from renewable energy sources (initiation and participation in auctions).

3. FEATURES OF USING THE ELECTRONIC TRADING SYSTEM

3.1. The auction process in PP BETS is divided into the following periods:

3.1.1. **Registration of applications** - the period of submission of applications for participation in the auction, which begins from the moment of creation (opening) of the trading session and ends at the end of the trading period.

3.1.2. **Auction** - the period of the auction (bidding period), which begins on the date and time published on the official website of the Auction Organizer, and ends after the sale/satisfaction of the auctioned lots, but not later than 1 hour after the beginning of the bidding period; the end time of the bidding period (including taking into account its extension) should not be later than 18:00 on the day in which the auction was held; if at the time of the end of the bidding period for any of the positions, the bidding is still ongoing, then the bidding period continues until the end of the bidding for this lot/package of lots (the end of the waiting time for the best offer).

The trading period can be extended:

a) at the initiative of the initiator of the position - for a period that cannot exceed 1 (one) hour, by contacting the initiator of the position to the Administrator of PP BETS within 1 (one) hour from the beginning of the trading period;

b) PP BETS in automatic mode - for a period of up to 5 (five) minutes, if within 5 (five) minutes before the end of the trading period (including taking into account the time of extension of the trading period) the fact of conclusion of the PP BETS will be recorded agreements based on the results of the expiration of the waiting time for the best offer.

Example No. 1 (at the initiative of the initiator of the position): if the beginning of the trading period is on 2:00 p.m., the initiator of the position from 2:00 p.m. until 3:00 p.m. may contact the Administrator to extend the trading period for 1 hour. - until 4:00 p.m. If at 3:57 p.m. PP BETS recorded the fact of the conclusion of the agreement, the end time of the trading period is extended by 2 minutes - until 4:02 p.m. (3:57 p.m. + 5 min.). If

at 4:01 p.m. PP BETS once again recorded the fact of the conclusion of the agreement, the end time of the trading period is extended by 4 minutes - until 4:06 p.m. (16:01 hours + 5 minutes).

Example No. 2: if the beginning of the trading period is on 4:30 p.m., the initiator of the position from 4:30 p.m. until 5:30 p.m. may contact the Administrator to extend the trading period by 30 minutes. - until 6:00 p.m. There is no automatic extension of the trading period after 18:00.

3.1.3. **Certificates signing** - the period of signing auction certificates with an electronic signature, which starts from the moment the bidding period ends and lasts for 2 (two) hours.

3.2. For the correct and stable functioning of the automated workplace and work in the PP BETS, it is necessary to meet the minimum requirements for hardware, software and the Internet channel, specified on the official website of the Auction Organizer (www.ueex.com.ua).

3.3. Auction participants are prohibited from:
3.3.1. allow persons not registered by the Auction Organizer as authorized persons of such auction participant to the automated workplace;

3.3.2. disclose and/or reproduce and/or distribute any information related to the work of PP BETS, which constitutes a commercial secret;

3.3.3. Carry out actions aimed at:
- receiving information from PP BETS that is not directly related to such auction participant;
- connecting to the BETS network using someone else's username (login) and password or using someone else's login and password;
- the use of any third-party software and technical tools in order to penetrate into the environment of the operating system of PP BETS servers;
- unauthorized change of the standard operating procedure of the Auction Organizer's technological equipment (hacking, attack, etc.) or to discredit the Auction Organizer;
- creation of prerequisites for the occurrence of failures in the work of PP BETS.

3.4. The auction participant must take all necessary measures to prevent circumstances that may interfere with the functioning of the software and technical complex of the automated workplace and PP BETS, such as: ensuring reliable anti-virus protection of the automated workplace and non-interference in the system by third parties from such a location of the auction participant, etc. and immediately notify the Auction Organizer of the occurrence of circumstances that may interfere with the functioning of the PP BETS.

3.5. The auction organizer has the right to stop the technical access of the auction participant to the PP BETS or to limit (fully or partially) the powers (actions) of the auction participant in the PP BETS in case of violation/non-compliance by such participant with the Regulations, in case of detection of unauthorized access attempts by the auction participant to the PP BETS, in the case of detection of signs of manipulation in the actions of the auction participant, as well as in the event of any, without exception, other circumstances that prevent the functioning of the PP BETS and/or the Auction Organizer. Restoration of the technical access of the auction participant to the PP BETS or the auction participant's authority to perform certain actions in the PP BETS is carried out only after the situation has been resolved, or technical failures have been eliminated in the event that the suspension of access to the PP BETS was a consequence of such failures.

3.6. The auction organizer has the right to stop the technical access of the auction participant to the PP BETS from the moment his rights as an auction participant are suspended.

4. AUCTION PARTICIPANTS

4.1. Participants of the auction are registered in accordance with the Procedure for issuance, circulation and redemption of guarantees of origin of electricity, approved by Resolution of the Cabinet of Ministers of Ukraine No. 227 dd. 27.02.2024, users of the GO register, - initiators of positions and bidders for positions that have passed the registration procedure in accordance with this Regulations and received the status of: seller and/or buyer.

4.2. A natural person, including a natural person - entrepreneur, or a legal entity that has acquired the status of an auction participant, in accordance with this Regulations, bears the independent risks of the occurrence of which are caused by a violation of the current legislation, which provides for restrictions on the occupation by such a user of the GO register, the corresponding activity, in including, regarding the sale and/or purchase of GO.

5. PROCEDURE FOR ADMISSION TO THE AUCTION OF USERS OF THE GO REGISTRER

5.1. The basis for registration in PP BETS by the auction organizer of the GO register user in the status specified in clause 4.1. of this Regulations, in order to obtain (acquire) the right to initiate the exposure of a position (positions), as well as the right to participate in auctions for positions exposed by other initiators of positions, the following conditions must be met:

5.1.1. conclusion of the Agreement on the organization and participation in auctions for the purchase and sale of guarantees of origin of electric energy produced from renewable energy sources between the Auction Organizer and such user of the GO register. In order to conclude the Agreement on organization and participation in auctions, the user of the GO register on the official website of the Auction Organizer goes to the "Accreditation online" tab on the official website of the auction organizer (https://accredit.ueex.com.ua) and by selecting "GO sales auctions" fills out the registration form in electronic form (Appendix 1 to this Regulations), to which are attached archived files of scanned copies of documents that must be valid (valid) at the time of their submission:

- Agreement on organization and participation in auctions (Annex 2 to this Regulations) filled in and certified by the signature of the authorized person and the seal of the user of the GO register (if any);
- An extract from the Unified State Register of Legal Entities, Individual Entrepreneurs and Public Organizations issued not later than 1 (one) month prior to its submission to the auction organizer (electronic extract from the Unified State Register of Legal Entities, Individual Entrepreneurs and Public Organizations shall be provided in the form of a printed copy, which shall not be certified);
- Charter (for a legal entity), and for a legal entity using a model charter - a copy of the decision (protocol) on working under the model charter;
- Balance sheet and income statement for the last reporting period with a corresponding mark of the statistical authority/tax return or other reporting document required by the applicable law (for individual entrepreneurs) (or justification for the absence of such documents);
- Decision (order/protocol/order/power of attorney or other document) on appointment/election of the director and person(s) authorized to enter into, sign documents specified in this Regulations and perform other actions on behalf of the user of the GO register (if the applicant's interests are not represented by the director);
- Passports and certificates of identification number assignment (card on assignment of the registration number of the taxpayer's account card) (not provided in case of entering information on the ITN in the passport of a citizen of Ukraine in the form of a card) of the head and the person authorized to conclude, sign documents specified in this Regulations and perform other actions on behalf of the user of the GO register (in case of representation of the applicant's interests by a non-manager), unless such a person, due to his/her religious or other beliefs, refused to accept the registration number of the taxpayer's registration card, notified the relevant body of the State Fiscal Service and has a corresponding mark in the passport (in case of providing a passport of a citizen of Ukraine in the form of a card, a Certificate of Residence Registration (paper supplement to the ID-card or Extract from the Register of Territorial Community, reproduced in the form of a paper copy) must be provided).
- Certificate (certificates) of the public key of the representative (representatives) authorized by the user of the GO registry to perform actions on its behalf related to the purchase and/or sale of GO valid for circulation at auctions;
- Passport and certificate of assignment of identification number (card on assignment of registration number of the taxpayer's account card) (not provided in case of entering information about the ITN in the passport of a citizen of Ukraine in the form of a card) of the representative(s), authorized by the user of the register of GO, to perform actions on its behalf related to the purchase and/or sale of GO valid for circulation at auctions, if the relevant actions will be performed by a person(s) other than the person(s) authorized to conclude the agreement, signing documents specified in this Regulation and performing other actions on behalf of the user of the EITI register, except when such a person, due to his/her religious or other beliefs, refused to accept the registration number of the taxpayer's account card, notified the relevant body of the

State Fiscal Service and has a corresponding mark in the passport (in case of providing a passport of a citizen of Ukraine in the form of a card, a certificate of registration of residence must be provided (a paper supplement to the ID-card or an extract from the territorial community register, reproduced in the form of a paper copy that may not be certified));
- Power of attorney (powers of attorney) of the representative(s) authorized by the user of the GO register to perform actions on its behalf related to the purchase and/or sale of GO valid (authorized) for circulation at auctions in the recommended form (Annex 3 to this Rules);
- Customer questionnaires;
- Information on the schematic representation of the ownership structure of a legal entity (samples of which are available on the official website of the Auction Organizer);

- A letter confirming the status of the user of the GO register, certified by the signature of the authorized person and the seal of the user of the GO register (if any).

5.1.2. receiving a username (login) and password from the Auction Organizer to access the PP BETS software;

5.1.3. completion of a training course for the person(s) who will perform the functions of authorized persons at PP BETS;

5.1.4. performing other necessary actions specified in this Rules and internal documents of the Auction Organizer referred to in the Rules.

5.2. The Auction Organizer shall, not later than 5 (five) business days from the date of receipt of the registration form and the attached files specified in sub-clauses. 5.1.1. of the Regulations, shall review it and make one of the following decisions:

5.2.1. registers such a user of the GO register in the PP BETS software and sends him/her a corresponding notification to the e-mail specified in the registration form, as well as sends to the e-mail of the representative(s) authorized by the user of the GO register to perform actions on his/her behalf related to the purchase and/or sale of valid (authorized) GO - login and password for access to the PP BETS software;

5.2.2. refuses to register with the PP BETS. The refusal to register is a confirmation of the fact that the Agreement on organization and participation in auctions has not been concluded.

The grounds for refusal to register a user of the GO register shall be solely the failure to provide and/or provide incomplete and/or inaccurate information specified in the registration form and/or the existence of restrictions determined by the legislation of Ukraine and/or a negative conclusion based on the results of the risk assessment, in accordance with the Internal Control Compliance Program - Reliability Risks of the Client of the Commodity Exchange - Ukrainian Energy Exchange Limited Liability Company, as notified by the Auction Organizer to the user of GO via email respective notification with indication of refusal grounds.

If the information or documents submitted by the applicant do not meet the requirements set forth in this Rules, the Auction Organizer shall notify the user of the GO register by sending a message to his e-mail address specified in the registration form and set an additional deadline for the applicant to submit such documents.

Denial of registration shall not deprive such user of the GO register of the right to resubmit to the Auction Organizer the registration form and the files attached thereto as specified in subpar. 5.1.1. of the Rules, at any time after the reasons for refusal are eliminated.

5.3. In case the Auction Organizer makes a positive decision on registration of the user of the SEE register in the PP BETS, such person shall, within 5 (five) working days from the date of receipt of the notification by e-mail in accordance with subpara. 5.2.1. of this Regulation, shall send to the postal address of the Auction Organizer:

originals of the following documents:

- 2 (two) copies of the Agreement on the organization and participation in the auctions (Annex 2 to this Regulations) filled in and certified by the signature of the authorized person and the seal of the user of the GO register (if any);

- Power of attorney (powers of attorney) of the representative(s) authorized by the user of the GO register to perform actions on its behalf related to the purchase and/or sale of GO valid (authorized) for circulation at auctions in the recommended form (Annex 3 to this Regulations);
- Client questionnaire;
- Certificate of a schematic representation of the ownership structure of the legal entity;
- A letter confirming the status of the user of the GO register, certified by the signature of the authorized person and the seal of the user of the GO register (if any);

duly certified copies:

- An extract from the Unified State Register of Legal Entities, Individual Entrepreneurs and Public Organizations issued not later than 1 (one) month prior to its submission to the Auction Organizer (electronic extract from the Unified State Register of Legal Entities, Individual Entrepreneurs and Public Organizations shall be provided in the form of a printed copy, which shall not be certified);
- Charter (for a legal entity), and for a legal entity using the model charter - a copy of the decision (protocol) on work under the model charter;
- Balance sheet and income statement for the last reporting period with a corresponding mark of the statistical authority/tax return or other reporting document required by the current legislation (for individual entrepreneurs) (or justification for the absence of such documents);
- Decision (order/protocol/order/power of attorney or other document) on appointment/election of the head and person(s) authorized to enter into, sign documents specified in this Regulations and perform other actions on behalf of the user of the GO register (in case the applicant's interests are not represented by the head);
- Passports and certificates of identification number assignment (taxpayer registration number card) (not required if information on the taxpayer registration number is entered into the passport of a citizen of Ukraine in the form of a card) of the manager and the person authorized to conclude, sign documents specified in this Regulations and perform other actions on behalf of the user of the GO register (if the applicant is not represented by the manager), unless such person, due to his/her religious or other beliefs, has refused to accept the registration number of the taxpayer's registration card, has notified the relevant body of the State Fiscal Service and has a corresponding mark in the passport (in case of providing a passport of a citizen of Ukraine in the form of a card, a Certificate of Residence Registration (a paper supplement to the ID-card or an extract from the register of the territorial community, reproduced in the form of a paper copy that may not be certified) must be provided);
- Passport and certificate of identification number assignment (card on assignment of the registration number of the taxpayer's account card) (not provided in case of entering information about the ITN in the passport of a citizen of Ukraine in the form of a card) of the representative(s), authorized by the user of the GO register to perform actions on its behalf related to the purchase and/or sale of GO valid for circulation at auctions, if the relevant actions will be performed by a person(s) other than the person(s) authorized to conclude and sign documents specified in this Regulations, and performing other actions on behalf of the user of the GO register, except when such a person, due to his/her religious or other beliefs, refused to accept the registration number of the taxpayer's account card, notified the relevant body of the State Fiscal Service and has a corresponding mark in the passport (in case of providing a passport of a citizen of Ukraine in the form of a card, a Certificate of Residence Registration (paper supplement to the ID-card or Extract from the Register of Territorial Community, reproduced in the form of a paper copy must be provided).

5.3.1. The documents provided in copies shall be duly certified in accordance with the explanations provided on the official website of the Auction Organizer: www.ueex.com.ua, and valid at the time of their submission at the time of their submission.

5.3.2. If there are subsequent changes in the above documents and/or information, the user of the GO register shall provide the Auction Organizer with updated documents and/or information within 3 (three) business days from the date of occurrence/appearance of such changes.

5.3.3. The Auction Participants shall provide the Auction Organizer with information and relevant supporting documents on all changes in the information and documents provided by them for the conclusion of the Agreement on the organization and participation in auctions by uploading the relevant supporting documents to the section “For existing clients”.

Within 3 (three) working days from the date of receipt of the notification of verification and confirmation of such changes by e-mail, the Auctioneer shall provide the Auction Organizer with the originals and duly certified copies of the documents submitted in the section “For existing customers”.

5.4. In case of violation of the requirements specified in clause 5.3. of the Regulations, the Auction Organizer shall have the right to automatically suspend the admission of such a user of the GO register to the auctions until the following documents (information) are provided in full.

5.5. The form and content of the Agreement on the organization and participation in auctions shall be posted by the Auction Organizer on its official website (www.ueex.com.ua).

5.6. The grounds for cancellation of PP BETS registration for the user are:

5.6.1. an application of such user of GO register to cancel its registration submitted to the Auction Organizer in accordance with the Rules. In this case, the date of registration cancellation shall be the date following the date of submission of such application, but in any case not earlier than the date of completion of all trading in positions to which such participant is a party;

5.6.2. information received by the Auction Organizer on the loss of the registered auction participant's status as a user of the GO register;

5.6.3. the conditions stipulated by the Agreement on the organization and participation in auctions for the purchase and sale of guarantees of origin of electricity generated from renewable energy sources.

5.7. Documents and actions specified in clauses 5.1. and 5.3. of the Regulations (including submission of the Customer Questionnaire to the Auction Organizer), except for the requirement to submit the original of the Agreement on organization and participation in auctions, power of attorney (powers of attorney) of the representative (representatives) and the Letter confirming the status of the GO register user, shall not be submitted to the Auction Organizer (performed) by the GO register user if such register user at the time of concluding the Agreement on organization and participation in auctions for the purchase and sale of guarantees of origin of electricity generated from renewable energy sources is already registered in the sections (directions) of the PP BETS in accordance with the requirements of the Rules for Organizing and Conducting Electronic Auctions for the Sale of Electricity on the Commodity Exchange - Ukrainian Energy Exchange Limited Liability Company and/or the Rules for Organizing and Conducting Electronic Auctions for the Sale of Electricity under Bilateral Contracts in Commercial Sections on the Commodity Exchange - Ukrainian Energy Exchange Limited Liability Company and/or and/or the Regulations for organizing and conducting an electronic auction for the purchase and sale of electricity for further import/export on the commodity exchange - Ukrainian Energy Exchange Limited Liability Company.
If the user of the GO register is registered in other sections (areas) of the PP BETS in accordance with the requirements of the Rules for Organizing and Conducting Electronic Auctions for the Sale of Electricity on the Commodity Exchange - Ukrainian Energy Exchange Limited Liability Company and/or the Rules for Organizing and Conducting Electronic Auctions for the Sale and Purchase of Electricity under Bilateral Contracts in Commercial Sections on the Commodity Exchange - Ukrainian Energy Exchange Limited Liability Company and/or the Rules for Organizing and Conducting Electronic Auctions for the Purchase and Sale of Electricity under Bilateral Contracts using the Technology of Bilateral Continuous Auction on the Commodity Exchange - Ukrainian Energy Exchange Limited Liability Company and/or the Rules for Organizing and Conducting Electronic Auctions for the Purchase and Sale of Electricity for Further Import/Export on the Commodity Exchange - Ukrainian Energy Exchange Limited Liability Company, failed to provide the Auction Organizer with a Certificate on the schematic representation of the ownership structure of a legal entity based on the above regulations, such a certificate shall be provided together with an application to the Auction Organizer for the purpose of concluding an Agreement on the organization and participation in auctions for the purchase and sale of guarantees of origin of electricity generated from renewable energy sources.

5.8. In order to submit the documents specified in clause 5.7. of the Regulations, the user of the GO register on the official website of the Auction Organizer shall go to the link https://accredit.ueex.com.ua in the tab “For existing customers” and add files of scanned copies of documents.

5.9. The Auction Organizer shall ensure non-disclosure and preservation of information contained in the documents submitted by the user of the GO register in accordance with subpar. 5.1.1. and sub-clauses 5.3. or 5.7. of the Regulations, except when the Auction Organizer discloses/provides such information in accordance with the provisions of the applicable law and/or these Regulations.

6. THE PROCEDURE FOR SUBMITTING APPLICATIONS FOR PLACING POSITIONS WITHIN THE AUCTION

6.1. In order to initiate placing a position within the auction, the authorized person of the position initiator shall first agree and submit to the Auction Organizer the information on the date and time of the auction in any way convenient and agreed with the Auction Organizer (by e-mail or orally by telephone), and then shall have the right to submit to the Auction Organizer an application for placing a position within the auction by submitting it to PP BETS software and in the form specified in the PP BETS software.

6.2. To submit an application for placing a position within the auction, the initiator of the position shall log in to PP BETS software during the application period using his/her personal username (login) and password, and confirm with an electronic signature that all his/her legally significant actions in PP BETS software will have full legal force in accordance with the requirements of the legislation of Ukraine, and then select the required trading session.

6.3. An application for placing a position within the auction is entered into PP BETS software in the form of a position(s) for sale (purchase) in open trading sessions in PP BETS software. The position combines lots with the same terms of execution - starting price (per 1 GWh of electricity); rate/amount of the guarantee fee; type of renewable energy source used in the electricity generation process, as well as payment terms and other essential terms that are part of the draft contract for the sale and purchase of guarantees of origin of electricity generated from renewable energy sources.

6.4. The initiator of the position offers valid (authorized) for circulation of GO for sale (purchase) at the auction in the form of a lot/packages of lots.

6.5. The sell position (buy position) shall contain:

1) the type of renewable energy source used in the electricity generation process;
2) period of electricity generation (month and year);
3) the period of commissioning of the generating facility;
4) starting price (excluding VAT)
5) number of lots;
6) draft contract for the sale and purchase of guarantees of origin of electricity generated from renewable energy sources;
7) rate/amount of the guarantee fee.

6.5.1. Sell position (buy position) may also contain other information related to the auction.

6.6. The initiator of the position shall indicate in the position the number of lots (one or more - combined lots (package of lots)) to be offered for sale (purchase) simultaneously.

6.7. The auction shall be organized by the Administrator of the PP BETS according to the requests of the position initiator's authorized persons transmitted by any convenient and agreed with the Auction Organizer method (by e-mail or verbally by telephone).

6.7.1. Within one auction, positions can be submitted by an unlimited number of position initiators. Bidding for all positions submitted within the same auction shall start simultaneously.

6.8. A position initiator may submit an unlimited number of positions. Each position in the PP BETS shall be assigned a unique number (position number).

6.9. A position entered into PP BETS software, but not signed with an electronic signature, may be edited or withdrawn (deleted) at any time during the registration period, but not later than the deadline set in clause 6.10 of this Rules.

6.10. Position entered into PP BETS software shall be signed with an electronic signature no later than 3 (three) hours before the auction starts, after which its terms may not be changed, and the starting price for this position shall be fixed for the purpose of calculating the security deposit.

6.11. The initiator of the position may withdraw its application for placing the position within the auction or the position (positions) not later than 3 (three) hours before the auction starts.

- by a written application addressed to the Auction Organizer, transmitted in any convenient way agreed with the Auction Organizer (by e-mail) with further sending of the original by mail by registered mail, courier service or registered letter with acknowledgment of receipt, if at the moment of withdrawal the position(s) is/are signed by electronic signature
- independently at PP BETS, with further notification of the Auction Organizer of the occupation in any convenient way (by telephone, e-mail, etc.), if at the time of withdrawal the position (positions) is not signed by electronic signature.

6.11.1. Within 3 (three) hours from the moment of receipt of the application submitted by the initiator of the position in accordance with clause 6.11 hereof, the Auction Organizer shall post the relevant information on its official website, on the page where the announcement of the relevant auction was previously posted.

6.12. The amount of the security deposit shall be calculated for the bidders submitting bids for participation in the auction for a certain item based on the starting price specified in such item after it is signed by the initiator of the item with an electronic signature.

7. PROCEDURE FOR INFORMING ABOUT THE AUCTION

7.1. On the basis of the items submitted, the Auction Organizer shall formulate and place an auction announcement on the official website (www.ueex.com.ua).

7.2. The announcement shall contain information on:

1) the name of the initiator of the item;
2) type of renewable energy source used in the process of electricity generation
3) period of electricity generation (month and year);
4) period of commissioning of the generating facility;
5) starting price (excluding VAT)
6) number of lots;
7) draft contract for the sale and purchase of guarantees of origin of electricity generated from renewable energy sources;
8) the rate/amount of the guarantee fee;
9) other information related to the auction.

8. PROCEDURE FOR SUBMITTING APPLICATIONS FOR PARTICIPATION IN THE AUCTION

8.1. After publishing the auction announcement on its official website, the Auction Organizer shall start registering bids for participation in the auction.

8.2. An auction bid may be submitted by a bidder for an item from the moment the item is signed by the initiator of the item with an electronic signature until the end of the bidding period.

8.3. The auction organizer shall ensure the anonymity and confidentiality of the information contained in the registered bids for participation in the auction.

8.4. In order to participate in the auction, the bidder for the position shall submit an application for participation in the auction in the form specified in PP BETS software.

8.5. In order to submit an application for participation in the auction, the bidder shall log in to PP BETS software using his/her personal username (login) and password and confirm with the help of an electronic signature

that all his/her legally significant actions in PP BETS software will have full legal force in accordance with the requirements of the legislation of Ukraine, and then select the required trading session.

9. PROCEDURE FOR MAKING A GUARANTEE DEPOSIT

9.1. In order to fulfill the obligation to ensure the signing of auction certificates and the conclusion of contracts for the sale and purchase of guarantees of origin of electricity generated from renewable energy sources based on the auction results, as well as to fulfill the obligation to pay the commission fee, the auction participants shall transfer the guarantee fee to the Auction Organizer in the manner and within the timeframe specified in these Rules.

The guarantee fee specified in this clause shall be transferred
- by the bidder for the position intending to participate in the auction - in accordance with the rate/amount of the security deposit specified by the initiator of the position in the application for placing the position within the auction;
- by the initiator of the position - in accordance with the rate/amount of the security deposit approved by the Auction Organizer.

9.2. The guarantee fee shall be transferred by the auction participants specified in clause 9.1. of the Regulations, to the current account of the Auction Organizer opened in an authorized bank with the purpose of payment: “#EPBETS Replenishment of guarantee funds for participation in the bidding from _____ without VAT” under the following details: account No. UA403004650000026003302394670 in JSC "Oschadbank", EDRPOU code 37027819 (Attention! In case of incorrect entry of the key value in the text of the payment purpose, the corresponding amount will not be credited to the General Account at BETS, but will be returned as erroneously transferred funds to the auction participant's current account upon a written application from the auction participant signed by the head or other authorized person of such auction participant and stamped (if any), transmitted in any convenient way (by e-mail, etc.) with the original sent by mail. The key value in the text of the payment purpose shall consist of the hashtag symbol (symbol “#”) and the abbreviation in Latin capital letters EPBETS, entered without spaces - #EPBETS). The participant's bids are accepted to the auction automatically within the available amount of the guarantee fee on the General Account.
Exchange Committee may decide to determine another procedure or other details for transferring the guarantee fee.

9.3. The rate/amount of the security deposit specified by the initiator of the position in the application for placing the position within the auction shall not exceed 25 (twenty-five) percent of the value of the volume of the GO, which shall be determined as the product using the starting price specified in the application for placing the position within the auction.

9.4. The funds in the amount of the auction participant's guarantee fee transferred to the current account of the Auction Organizer shall be accounted for on its General Account. PP BETS shall ensure:

9.4.1. admission to the auction of lots/bundles of lots, the obligations under which are secured by the initiator of the position with a free (unblocked) guarantee deposit available on its General Account;

9.4.2. the possibility for a bidder to submit an offer/counteroffer for a position only within the amount of obligations in respect of which are secured at the time of submission of the offer/counteroffer by a free (unblocked) security deposit available on its General Account.

10. AUCTION PROCEDURE

10.1. To conduct the auction, the Auction Organizer shall appoint the Administrator of the PP BETS, who shall carry out comprehensive communication with the auction participants. The e-mail address and contacts of PP BETS Administrator may be communicated to the persons in one or more of the following ways: specified in contracts, on the official website of the Auction Organizer, in correspondence, etc.

10.2. The auction shall start on the date and time published on the official website of the Auction Organizer in PP BETS software on the Internet with remote access and shall end with the registration of auction certificates.

10.3. The auction party that temporarily leaves its automated workstation during the auction shall be obliged to log out of PP BETS software. Otherwise, such person shall be fully liable for all actions performed on its behalf in the PP BETS during the period of its absence.

10.4. The auction is held for all positions simultaneously.

10.5. Lots/bundles of lots of each position are traded sequentially. Each subsequent identical lot/package of lots in the position is auctioned at the closing price of the previous lot/package of lots of the position, provided that the previous lot/package of lots was not auctioned by the method of accepting a counter offer or at the price set by the auction initiator under time to edit the terms of your own position.

10.6. During the auction, during the trading period, the initiator of the position has the opportunity to adjust the parameters of his own positions, namely:
- price for GO (1 MW•h) for a lot/package of lots that is put up for auction, but not traded at the time of position adjustment;
- the price for GO (1 MW•h) for the lot/package of lots that is in the queue (awaiting bidding), that is, it will be traded next after the lot/package of lots put up for auction;
- within the limits of the amount for which obligations are secured by a guarantee contribution on the General account, combine lots into packages, adjust the number of lots in a package that is put up for auction, but is not traded at the time of adjusting the position;
- within the limits of the amount for which obligations are secured by a guarantee contribution on the General account, combine lots into packages, adjust the number of lots in a package that is in the queue (waiting for bidding), that is, it will be traded next after the lot put up for auction/ package of lots;
- remove all or part of lots in positions that are not traded at the time of position adjustment.

10.7. The auction step is ten kopecks per 1MW•h. (without VAT).

10.8. Auctions are held both for price increases and decreases.

10.9. During the trading period, position bidders may purchase/sell lots for any of the permitted positions within the limits of the free (unblocked) margin available on their General Accounts by submitting bids in accordance with counterparty exclusion lists (in case if they are available), unless otherwise determined by the Exchange Committee.

10.10. The administrator of PP BETS forms a list of counterparty exclusions based on a written statement from the initiator of the position with the signature of the manager and a seal (if available), given to the Auction Organizer in any convenient way (e-mail) with subsequent forwarding of the original by mail by registered mail, according to two principles:

10.10.1. "All except" - users of the GO registry who will not be able to participate in all the positions of the position initiator in any trading session are included in the list of exclusions.

10.10.2. "No one except" - only those users of the GO registry who will be able to participate in all the positions of the position initiator in any trading session are included in the list of exclusions.

10.11. The inclusion of a user of the GO registry in the list of exclusions does not limit his right to participate in auctions for the positions of other position initiators.

10.12. Based on the list of exclusions, the user of the GO registry will not be allowed to participate in all positions of the respective initiator of the position in any auction, about which the user of the GO registry will be informed when he submits an application for participation in the auction.

10.13. The statement of the initiator of a position to include a counterparty in the list of exclusions is a document that expresses the will and needs of such a person who puts a position for sale and/or a position for

purchase, and is equated to the stated qualification requirements of the initiator of the position to counterparties.

10.14. The administrator of PP BETS edits the list of exclusions of counterparties (adds and/or removes users from the GO register) based on a written statement from the initiator of the position with the signature of the manager and a seal (if available), given to the Auction Organizer in any convenient way (by e-mail) with subsequent forwarding of the original by registered mail.

10.15 During the bidding period, bidders for the position - buyers submit offers on the readiness to buy a lot/package of lots in the position on the terms of the initiator of the position - the seller. To occupy a leading position (setting the maximum price for a lot/package of lots, for the purchase of which an offer has already been submitted by another position bidder), the position bidder must increase the current price by 1 (one) or more during the waiting time for a better offer (unlimited) auction steps. If the bid price differs by 20 (twenty) percent or more from the starting price determined by the terms of the auction for the relevant position at the beginning of the bidding period or from the current price formed during the bidding period, the initiator of such a bid will receive a warning about this.

At the moment the buyer submits an offer, PP BETS automatically blocks the general account of such a buyer for the obligation-free (unblocked) guarantee fee in the amount determined by the terms of the auction. In the case of taking the leading position (setting the maximum price for a lot/package of lots, for the purchase of which an offer has already been submitted by another participant) by another auction participant, the guarantee contribution blocked on the buyer's General account to ensure the fulfillment of obligations regarding his offer is unlocked by PP BETS and is recorded on the buyer's General account as a non-obligation (unblocked) guarantee contribution.

10.16. During the bidding period, bidders on the position - sellers submit proposals on the readiness to sell a lot/package of lots in the position on the terms of the position initiator - the buyer. To occupy a leading position (setting the minimum price for a lot/package of combined lots, for the execution of which an offer has already been submitted by another bidder for a position), a bidder for a position must, during the waiting time for a better offer, reduce the current price by 1 (one) or more (unlimited) auction steps.

At the moment the seller submits an offer, PP BETS automatically blocks the general account of such seller in the amount of the guarantee fee, free of obligation (unblocked), in the amount determined by the terms of the auction. In the case of taking the leading position (setting the minimum price for a lot/package of lots, for the sale of which an offer has already been submitted by another participant) by another auction participant, the guarantee contribution blocked on the seller's General account to ensure the fulfillment of obligations regarding his offer is unlocked by PP BETS and is recorded on the General account of the seller as a free from obligation (unblocked) guarantee contribution.

10.17. The waiting time for the best offer is 1 (one) minute.

10.18. A bidder for a position during the bidding period, within the limits of the obligation-free (unblocked) guarantee contribution available on his General Account, has the opportunity to submit counter-offers for each of the positions, indicating the desired price of 1 GPEE (1 MW•h) and the desired quantity lots At the moment the bidder submits a counteroffer, PP BETS automatically blocks (unblocks) the obligation-free guarantee deposit in the general account of such bidder in the amount determined by the auction conditions. The bidder has the opportunity to submit only 1 (one) counter-offer for each item at a time. Each subsequent counter-offer submitted by a bidder for a specific position replaces the previous counter-offer submitted by him. During the bidding period, the bidder has the opportunity at any time to withdraw (delete) the counteroffer submitted by him, but not accepted by the other bidder, in connection with which, the guarantee contribution blocked on the general account of the bidder for the position under performance security obligations regarding such a counter-offer, the PP BETS is unlocked at the time of withdrawal (deletion) of the counter-offer and is recorded in the general account of the bidder for the position as an obligation-free (unblocked) guarantee contribution.

The initiator of the position analyzes the received counter-offers and makes a decision on the expediency of accepting them. In the case of acceptance of a counter offer, PP BETS automatically blocks the corresponding

amount of the guarantee fee on the General account of such initiator of the position, and the waiting time for the best offer begins, during which other bidders for the position can improve the current price by 1 (one) or more auction steps (unlimited) to occupy the leading position on the adjusted lot (or package of lots).

10.19. PP BETS records the fact of concluding an agreement with the bidder on the position whose offer was the leading (the best in terms of price or the only one) at the time of the end of the waiting time for the best offer.

10.20. The parties to the auction assume all risks associated with insufficient reliability of access to PP BETS via Internet communication channels (communication breakdown) and with unguaranteed bandwidth of Internet communication channels (delays in data transmission).

10.21. The auction organizer does not bear any responsibility for losses (damages) incurred by the auction party due to a disconnection between his computer and the server of the auction organizer.

11. PROCEDURE FOR PROCESSING AUCTION RESULTS

11.1. Based on the results of the auction, the auction organizer draws up auction certificates.

11.2. The parties to the agreement can sign auction certificates with an electronic signature during the bidding period after the end of the waiting time for the best offer and during the certificate signing period. The auction certificate signed by the parties to the agreement is registered by the Auction Organizer no later than the day following the conclusion of the agreement (by signing the auction certificate with an electronic signature).

11.2.1. Auction participants - parties to the agreement, before the end of the period of signing the auction certificates, by mutual consent, may initiate the separation of the concluded agreement/sentenced agreements into a separate auction certificate before the Auction Organizer, until the moment when both parties sign the auction certificate with an electronic signature.

In order to separate the concluded agreement/agreements into a separate auction certificate, the participants of the auction - the parties to the agreement (each separately) must apply in writing to the Auction Organizer by sending an official letter (statement, etc.) drawn up in accordance with the Law of Ukraine "On Electronic Documents and Electronic document flow", to the e-mail address of the Auction Organizer, specified on the official website of the Auction Organizer, in which to indicate the following information regarding the agreement that they intend to separate: names of the parties to the agreement; auction number, date and time; serial number of the transaction; volume of GO (in MW•h); price for 1 GPEE (1 MW•h) (without VAT); total cost (without VAT).

After receiving the request from the parties to the agreement mentioned in the previous paragraph, the Administrator of PP BETS separates the agreement specified in the letters before the end of the certificate signing period and, based on the result of the separation, creates new auction certificates available for signing with an electronic signature.

11.3. An auction certificate that was not properly signed in accordance with clause 11.2. Regulation, the status "Cancelled" is assigned in the electronic trading system.

11.4. During the period of signing certificates, auction protocols, auction certificates, payment (settlement) documents for the payment of the commission fee are formed in PP BETS, which the parties to the agreement can review and print.

11.5. On the basis of the auction certificate, the parties to the agreement, within the period specified by the initiator of the position in the application for placing a position within the auction, are obliged to enter into and sign a contract of sale and purchase of guarantees of origin of electric energy produced from renewable energy sources, according to the terms of which the initiator of the position is obliged to transfer GO between accounts in the Register of guarantees of origin of electric energy produced from renewable energy sources, in accordance with Clause 46 of Order No. 277, and the bidder by position - to accept them in accordance with Clause 47 of Order No. 277 and pay for them. The conditions of the auction, announced before its holding and

determined by the results of the auction, the value and volume of purchased/sold GO, during the conclusion of the contract of purchase and sale of guarantees of origin of electric energy produced from renewable energy sources are not subject to change.

11.5.1. The total value of GO (rounded to 2 (second) digits after the decimal point) under the contract for the purchase and sale of guarantees of origin of electric energy produced from renewable energy sources, concluded based on the results of bidding for the corresponding item, is determined as the product of the weighted average price (rounded to 2 (second) decimal point) and the total number of lots for all deals included in the relevant auction certificate.

11.6. The auction certificate, on the basis of which the contract for the purchase and sale of guarantees of origin of electric energy produced from renewable energy sources was not concluded, is assigned the status "Cancelled" in the electronic trading system.

11.7. The organizer of the auction compiles bulletins of weighted average prices for each trading session based on the results of the auctions held and makes them public by placing them on the official website on the Internet.

11.8 Electronic documents, which are created in PP BETS, can be reproduced in paper form. Auction certificates in paper and electronic forms, in accordance with the Law of Ukraine "On electronic documents and electronic document circulation", have the same legal force.

12. GUARANTEE FEE AND SETTLEMENTS

12.1. Guarantee fee blocked on the General account of the initiator of the position and on the General account of the bidder on the position - the winner, who concluded an agreement with such initiator of the position, are unblocked and become free from obligation (unblocked) guarantee contributions, available for withdrawal (return) or further their use to ensure fulfillment of obligations under other auctions, if:

12.1.1. as of 5:00 p.m. on a working day, which is the next date specified in the auction certificate as the deadline for entering into a contract of sale and guarantee of origin of electric energy produced from renewable energy sources, none of the parties to the auction certificate provided the Auction Organizer with information about non-fulfillment of the conditions of the auction certificate, namely, the signing by the parties specified in the auction certificate, within the specified period of the purchase and sale contract, of the guarantees of origin of electric energy produced from renewable energy sources - in this case, the guarantee fee are unblocked no later than for 2 (the second) working day on the date specified in the auction certificate as the deadline for concluding a contract of sale of guarantees of origin of electric energy produced from renewable energy sources;

12.1.2. both parties to the auction certificate have confirmed in PP BETS the fulfillment of the conditions of the auction certificate, namely, the signing by the parties of the auction certificate, within the specified period of the purchase and sale agreement of guarantees of the origin of electric energy produced from renewable energy sources - in this case, the guarantee contributions are unlocked at the moment of actual confirmation both sides of the auction certificate.

12.2. In case of refusal of the winning bidder to sign the auction certificate (by electronic signature) within the period specified by this Regulations, the guarantee fee is blocked on the General Account:

12.2.1. of the position bidder - the winner, to ensure the fulfillment of the obligation to conclude, based on the result of the auction, contracts for the purchase and sale of guarantees of origin of electric energy produced from renewable energy sources - is unlocked and debited from the General account of such a position bidder and transferred by the Auction Organizer to another to the party of such auction certificate within 3 (three) working days from the day of the auction;

12.2.2. the initiator of the position, in order to ensure the fulfillment of the obligation to conclude, as a result of the auction, contracts for the purchase and sale of guarantees of the origin of electric energy produced from

renewable energy sources - is unlocked and becomes free from the obligation (unblocked) guarantee deposit, available for withdrawal (return) or its further use to ensure fulfillment of obligations in other auctions.

12.3. In case of refusal of the winning bidder to enter into a contract of purchase and sale of guarantees of the origin of electric energy produced from renewable energy sources within the period specified in the auction certificate, the guarantee fee is blocked on the General account:

12.3.1. of the bidder by position - the winner, to ensure the fulfillment of obligations under such auction certificate - is unlocked and debited from the General account of such bidder by position and transferred by the Auction Organizer to the other party of such auction certificate within 3 (three) working days from the date of receipt by the Organizer the auction of a corresponding appeal from the initiator of the position and confirmation of the fact of the occurrence of these circumstances;

12.3.2. of the initiator of the position, to ensure the fulfillment of obligations under such an auction certificate, - is unlocked and becomes a free from obligation (unblocked) guarantee fee, available for withdrawal (return) or its further use to ensure the fulfillment of obligations in other auctions.

12.4. In the event that the initiator of the position refuses to sign the auction certificate (with an electronic signature) within the period specified by this Regulations, the guarantee fee to ensure the fulfillment of the obligation to conclude, as a result of the auction, contracts for the purchase and sale of guarantees of origin of electric energy produced from renewable energy sources, is blocked on In total:

12.4.1. of the initiator of the position, - is unlocked and automatically debited from the General Account and transferred by the Auction Organizer to the other party of such auction certificate within 3 (three) working days from the day of the auction;

12.4.2. of the bidder on the position - the winner - is unlocked and becomes a free from obligation (unblocked) guarantee fee, available for withdrawal (return) or its further use to ensure the fulfillment of obligations under other auctions.

12.5. In case the initiator of the position refuses to enter into a contract for the purchase and sale of guarantees of the origin of electric energy produced from renewable energy sources within the period specified in the auction certificate, a guarantee fee to ensure the fulfillment of the obligation to conclude, based on the result of the auction, contracts for the purchase and sale of guarantees of the origin of electricity, produced from renewable energy sources, blocked on the General account:

12.5.1. of the initiator of the position, - is unlocked and debited from the General account of such initiator of the position and transferred by the Auction Organizer to the other party of such auction certificate within 3 (three) working days from the date of receipt by the Auction Organizer of the corresponding request from such party of the auction certificate and confirmation of the fact of the occurrence of these circumstances;

12.5.2. bidder on the position - the winner - is unlocked and becomes a free from obligation (unblocked) guarantee fee, available for withdrawal (return) or its further use to ensure the fulfillment of obligations under other auctions.

12.6. In the event of the occurrence of the cases specified in paragraphs 12.2. - 12.5. Regulations, such auction participants are not released from the obligations under the auction certificate to pay the commission fee and other mandatory payments provided for by the internal documents of the Auction Organizer and determined by this Regulations.

12.7. Settlements under the contract of purchase and sale of guarantees of origin of electric energy produced from renewable energy sources are made directly by the parties to the auction certificate, unless otherwise determined by the provisions of this Regulations.

13. COMISSION FEE

13.1. In order to reimburse the Auction Organizer for expenses related to the organization and holding/participation in the auction (auctions), a commission fee is collected from the auction participants in accordance with the Tariffs for electronic auctions for the purchase and sale of guarantees of origin of electricity produced from renewable energy sources on the commodity stock exchange - Ukrainian Energy Exchange Limited Liability Company.

13.2. The commission fee for organizing and conducting/participating in the auction(s) for the purchase and sale of guarantees of origin of electricity produced from renewable energy sources is deducted from the amount of the guarantee fee of the auction participant and is automatically blocked on his General Account. During the period of signing the auction certificates, the funds of the party to the auction certificate, blocked to secure the payment of the commission fee in the General Account, are automatically unblocked and credited to the Auction Organizer for the payment of the commission fee for such auction certificate.

13.4. A decision of the Exchange Committee may determine a different approach to the formation of the commission fee and/or the procedure for its payment, in connection with which, appropriate changes are made to the Tariffs of electronic auctions for the purchase and sale of guarantees of origin of electric energy produced from renewable energy sources on the commodity market. exchanges - Ukrainian Energy Exchange Limited Liability Company and are published on the official website of the Auction Organizer.

13.5. The amount of the commission fee is paid, taking into account the value added tax, within the period specified by this Section, unless otherwise specified in the Tariffs for electronic auctions for the purchase and sale of guarantees of origin of electric energy produced from renewable energy sources, on the commodity exchange - Ukrainian Energy Exchange Limited Liability Company.

14. INFORMATION ABOUT AUCTION RESULTS

14.1. The auction organizer publishes the results of the auction on its official website in the form of a bulletin of weighted average prices with an indication of the realized volume of the GO.

14.2. The auction results report is commercial information.

14.3. The persons who received the report on the results of the auction have no right to publish or transfer it to third parties in any form without the written permission of the Auction Organizer.

14.4. All information, without exception, related to the organization, course and results of the auction, is the property of the Auction Organizer. The auction organizer has no right to disclose information related to the parties to the auction certificate, except in cases of providing and/or posting such information on the official website in accordance with the requirements of the current legislation of Ukraine and the contracts concluded with the auction participants, as well as in other cases provided for by the current legislation of Ukraine and internal documents of the Auction Organizer.

14.5. The organizer of the auction stores in electronic databases complete information about the auctions held, containing the dates and times of receiving and registering documents, taking actions for 3 (three) years. The auction organizer stores information related to:
- registration of buyers and sellers in auctions (agreements on the organization and participation in auctions, applications for placing a position within the auction, applications for withdrawal of an application for placing a position within the auction, applications for participation in the auction, applications for withdrawing an application for participation in the auction, data on the authorization of auction participants in the PP BETS on the day of the auction, etc.);
- the course of bidding (information on all offers (price requests), etc.);
- the results of the auction (information about the offers (price bids) of the winners, auction certificates, etc.).

Appendix 1
to the Regulations for organizing and conducting electronic auctions for the purchase and sale of guarantees of origin of electricity produced from renewable energy sources on the commodity exchange - Ukrainian Energy Exchange Limited Liability Company

Registration form

In accordance with the provisions of Section 5 of the Regulations for the Organization and Conduct of Electronic Auctions for the Purchase and Sale of Guarantees of Origin of Electric Energy Produced from Renewable Energy Sources on the Commodity Exchange - the Ukrainian Energy Exchange Limited Liability Company provides a duly completed registration form and requests access to the electronic auctions for the purchase and sale of guarantees of origin of electric energy produced from renewable energy sources.

Note: all fields of the form (unless indicated separately) are mandatory. The form is filled out in Ukrainian. Fields in English are optional.

Company information

Company name (full)	(in Ukrainian)
Company name (full)	(in English)
Company name (abbreviated)	(in Ukrainian)
Company name (abbreviated)	(in English)
The company operates on the basis of	(name of the document in the genitive case)
Form of ownership	

Legal address

Zip code	
Country	
Region	
City	
District	
Street	
Building	
Corps	(filled if any)
Office (room)	(filled if any)
Legal address (in English)	

Postal address

Zip code	
Country	
Region	
City	
District	
Street	
Building	
Corps	(filled if any)
Office (room)	(filled if any)
Telephone	(record format: +38 0XX XXX-XX-XX)
Telephone/Fax	(record format: +38 0XX XXX-XX-XX)
E-mail	
EDRPOU code	
Ndividual tax number	

Current account

Name of the bank	(in Ukrainian)
Name of the bank	(in English)
Bank address	
Current account	
Bank code (MFI)	

Information about the person who has the right to sign contracts and take actions on behalf of the applicant

Name of the person	(in the nominative case)
Name of the person	(in the genitive case)
Position of the person	(in the nominative case)
Position of the person	(in the genitive case)
Document type	(passport/ID card)

Passport data of the person:

series	
№	
issued by	
date of issue	(record format: HH.MM.YYYY)

Personal ID card data:

document no	
date of issue	(record format: HH.MM.YYYY)
the issuing body	
Identification number of the person	

A representative authorized by the applicant to perform actions on his behalf related to the purchase and/or sale of GO – 1

Full name of the person	(in the nominative case)
	(in the genitive case)
	(in the accusative case)
Position of the person	
Document type	(passport/ID card)

Passport data of the person:

series	
No	
issued by	
date of issue	(record format: HH.MM.YYYY)

personal ID card data:

document no	
date of issue	(record format: HH.MM.YYYY)
the issuing body	
Identification number of the person	
Residential address of the person	
E-mail of the person	
Person's phone (work)	(record format: +38 0XX XXX-XX-XX)
Person's phone (mobile)	(record format: +38 0XX XXX-XX-XX)

Documents, for conclusion of the Agreement on the organization and participation in auctions for the purchase and sale of guarantees of origin of electricity produced from renewable energy sources:

1. Agreement on the organization and participation in auctions for the purchase and sale of guarantees of origin of electricity produced from renewable energy sources	* upload the document
2. Extract from the Unified State Register of Legal Entities, Individual Entrepreneurs and Public Organizations, issued no later than 1 (one) month before its submission to the Auction Organizer	* upload the document
3. Charter (for a legal entity) and for a legal entity using a model charter - a copy of the decision (protocol) on work under the model charter;	* upload the document
4. Balance sheet and report on financial results for the last reporting period with the corresponding mark of the statistical authority/tax declaration or other reporting document, the submission of which is provided for by the current legislation (for individual entrepreneurs)	* upload the document
5. The decision (order/protocol/order/power of attorney or other document) on the appointment/election of the manager and the person(s) who has the right to enter into, sign the documents specified by the Regulation and take other actions on behalf of the user of the GO	* upload the document

register (in case the applicant's interests are not represented by the manager)	
6. Passport and certificate of identification number assignment (taxpayer registration number card) (not required if information on the Taxpayer registration card number is entered into the passport of a citizen of Ukraine in the form of a card) of the manager and the person authorized to conclude, sign documents specified in the Regulations and perform other actions on behalf of the user of the GO register (if the applicant's interests are not represented by the manager). If a passport of a citizen of Ukraine is provided in the form of a card, a Certificate of Residence Registration (a paper supplement to the ID card or an extract from the territorial community register reproduced in the form of a paper copy that may not be certified) must be provided.	* upload the document
7. Certificate (certificates) of the public key of the representative (representatives) authorized by the user of the GO registry to perform actions on its behalf related to the purchase and/or sale of GO valid for circulation at auctions	* upload the document
8. Passport and certificate of assignment of identification number (card of assignment of registration number of the taxpayer's account card) (not required if information on the Taxpayer registration card number is entered in the passport of a citizen of Ukraine in the form of a card) of the representative(s) authorized by the user of the GO register, to perform on its behalf actions related to the purchase and/or sale of the GO valid (authorized) for circulation at auctions, if the relevant actions will be performed by a person(s) other than the person(s) authorized to conclude, sign documents specified in the Regulations and perform other actions on behalf of the GO register user. If a passport of a citizen of Ukraine is provided in the form of a card, a Certificate of Residence Registration (a paper supplement to the ID card or an extract from the territorial community register reproduced in the form of a paper copy that may not be certified) must be provided.	* upload the document
9. Powers of attorney (powers of attorney) of the representative(s) authorized by the user of the GO registry to perform actions on its behalf related to the purchase and/or sale of GO valid (authorized) for circulation at auctions in the recommended form	* upload the document
10. Client questionnaire	* upload the document
11. Information on the schematic representation of the ownership structure of a legal entity	* upload the document

12. A letter confirming the status of the user of the GO register, certified by the signature of the authorized person and the seal of the user of the GO register (if any)	* upload the document

We confirm that the documents provided in electronic form are authentic to the originals. We are responsible for the accuracy of the information provided.

We are familiar with the Regulations on the organization and conduct of electronic auctions for the purchase and sale of guarantees of origin of electricity generated from renewable energy sources on the commodity exchange - Ukrainian Energy Exchange Limited Liability Company. We undertake to fulfill it unconditionally.

Appendix 2
to the Rules for Organizing and Conducting Electronic Auctions for the Purchase and Sale of Guarantees of Origin of Electricity Generated from Renewable Energy Sources on the Commodity Exchange - Ukrainian Energy Exchange Limited Liability Company

AGREEMENT
on the organization and participation in auctions for the purchase and sale of guarantees of origin of electricity generated from renewable energy sources

Kyiv «___»_____20__

Ukrainian Energy Exchange Limited Liability Company, legal entity identification code 37027819, location: 44, Khreshchatyk St., Kyiv, 01001, hereinafter referred to as the "Auction Organizer", represented by _____, acting on behalf of _____, from one part, and

_____, identification code of the legal entity _____, location: _____, hereinafter referred to **as the "Auction Bidder"**, represented by _____, acting on the basis of _____, on the other hand,
hereinafter jointly referred to as the "Parties", have entered into this Agreement on the organization and participation in auctions for the purchase and sale of guarantees of origin of electricity generated from renewable energy sources (hereinafter referred to as the "Agreement") on the following terms and conditions:

1. Subject of the Agreement

1.1. In accordance with the terms of this Agreement, the **Auction Organizer** undertakes to organize and conduct auctions for the purchase and sale of guarantees of origin of electricity generated from renewable energy sources in accordance with the Rules for Organizing and conducting electronic auctions for the purchase and sale of guarantees of origin of electricity generated from Renewable Energy Sources on the commodity exchange - Ukrainian Energy Exchange Limited Liability Company (hereinafter referred to as the Auction Rules).
1.2. In accordance with the terms of this Agreement, the Auction **Bidder** undertakes to pay the Auction **Organizer** a commission fee in the amount and manner specified in the Auction Rules, including in accordance with the Tariffs for Electronic Auctions for the Purchase and Sale of Guarantees of Origin of Electricity Generated from Renewable Energy Sources on the Commodity Exchange - Ukrainian Energy Exchange Limited Liability Company.

2. Main terms and conditions

2.1. During the performance of any and all necessary actions related to participation in the auction(s), the Auction **Participant** shall be obliged to strictly comply with the Auction Rules.
2.2. Only **a** person who has fulfilled all the requirements and conditions for participation in the auction(s) as the initiator of the position and the bidder on the position established by the Auction Rules, including, but not limited to: submitted a package of documents, was registered by the Auction Organizer as a bidder, and performed all other necessary actions provided for by the Auction Rules.

3. Rights and obligations of the Parties

3.1. The auction participant shall be obliged to:

3.1.1. Provide **the Auction Organizer** with reliable information in the bids specified in the Auction Rules and any other necessary documents.
3.1.2. When performing any actions related to participation in the auction(s), strictly comply with the Auction Rules.
3.1.3. To transfer the guarantee fee(s) to ensure the conclusion of contracts for the sale and purchase of guarantees of origin of electricity generated from renewable energy sources based on the results of the auction(s) in the manner and within the timeframe provided for in the Auction Rules.
3.1.4. Upon the results of the auction, unconditionally sign the auction certificate(s) in the manner and within the time period specified in the Auction Rules.
3.1.5. Based on the results of the auction, conclude with the other party to the position (the winning bidder for the position / initiator of the position) a contract for the sale and purchase of guarantees of origin of electricity generated from renewable energy sources.
3.1.6. Pay the Auction **Organizer** the commission fee in the amount, procedure and terms specified in the Auction Rules.
3.1.7. Unconditionally sign the act of acceptance and transfer of services received from the **Auction Organizer** in accordance with the terms of this agreement.
3.1.8. To perform other duties established by the Auction Rules and other internal documents of the Auction **Organizer** referred to in the Auction Rules.
3.2. The auction participant shall be entitled to:
3.2.1. Initiate placing of the item(s), as well as the right to participate in the bidding for the items placed by other initiators of the items.
3.2.2. Withdraw its application for placing an item within the auction and/or application for participation in the auction in the manner and within the time limits established by the Auction Rules.
3.2.3. Require the Auction **Organizer** to transfer the guarantee fee to its current account in the cases and in the manner prescribed by the Auction Rules.
3.2.4. Exercise other rights of the auction participant, determined by the Auction Rules.
3.2.5. Receive all information necessary for the performance of this Agreement.
3.2.6. To use the whole range of services of the Auction Organizer, which are the subject of this Agreement.
3.3 The Auction Organizer shall be obliged to:
3.3.1. Organize and conduct auctions in accordance with the terms of the Auction Rules and this Agreement.
3.3.2. To return the paid guarantee fee to the **Auctioneer** in cases, in the manner and within the time limits specified in the Auction Rules.
3.3.3. Within 3 (three) working days from the date of the auction - to draw up, properly execute, and send **to the** Auction Bidder a signed act of acceptance and transfer of services rendered (including by using software for submitting reports to regulatory authorities and exchanging legally significant primary documents between counterparties in electronic form). The act of acceptance and transfer of services shall be drawn up on the day of the auction.
3.3.4. Ensure anonymity and confidentiality of information contained in the bids for participation in the auction.
3.3.5. for the proper performance of this Agreement.
3.3.6. Provide the Auction **Bidder** with a range of services that are the subject of this Agreement.
3.4 The Auction Organizer shall have the right to:
3.4.1. Require the Auction **Participant** to:
- Unconditional signing of the auction certificate.
- Payment of the commission fee for the provision of services in the manner and on the terms stipulated by the Auction Rules.
Unconditional signing of the act of acceptance and transfer of services rendered.
3.4.2. Not to return the guarantee fee **to** the Bidder in cases stipulated by the Auction Rules.
3.4.3. To cancel the registration and/or suspend the technical access of the Auction **Bidder** in cases stipulated

by the Auction Rules.

4. Commission fee and payment procedure

4.1. To reimburse the expenses related to the organization and conduct of electronic auctions/participation in electronic auctions, **the Auction Participant** shall pay a commission fee to the Auction **Organizer**. The procedure, terms of payment and the amount of the commission fee, as well as other terms of its payment are determined by the Auction Rules, including in accordance with the Tariffs for Electronic Auctions for the Purchase and Sale of Guarantees of Origin of Electricity Generated from Renewable Energy Sources on the Commodity Exchange - Ukrainian Energy Exchange Limited Liability Company.

5. Term of the Agreement and its early termination

5.1. This Agreement shall enter into force from the moment of its signing **by the Auction Organizer and the Auction Bidder** and shall remain in force until the Parties fulfill their obligations in full.

5.2. This Agreement may be terminated early by agreement of the Parties or at the initiative of the Auction **Organizer** in case of failure of the Auction **Participant** to fulfill any of the obligations stipulated by this Agreement and/or the Auction Rules.

5.3. In case of failure of the Auction **Bidder** to fulfill any of the obligations stipulated by this Agreement and/or the Auction Rules, this Agreement shall be deemed terminated (terminated) automatically without concluding any additional agreements / termination agreements / acts / or any other documents from the day following the day of sending a notice to the Auction **Bidder by the Auction Organizer** in the manner prescribed by the Auction Rules. In this case, **the Auction Organizer** shall be deemed to have fully and properly fulfilled its obligations under the Agreement, and the Bidder **'s** monetary obligations arising during the term of this Agreement shall be valid until their full fulfillment.

6. Responsibility of the Parties

6.1. In the event of breach of their obligations under this Agreement, the Parties shall be liable as defined by this Agreement and the current legislation of Ukraine. A breach of an obligation is its non-fulfillment or improper fulfillment, i.e. fulfillment in violation of the conditions specified in the content of the obligation.

6.2. The Parties shall not be liable for breach of their obligations under this Agreement if it occurred through no fault of their own. A party shall be deemed innocent if it proves that it has taken all measures in its power to properly fulfill its obligation.

6.3. In case of non-fulfillment/improper fulfillment **by theAuction Participant** of its obligations to pay the commission fee **to the Auction Organizer**, the **Auction Organizer** shall have the right to withhold in its favor the amount of the forfeit (fine, penalty) at the expense of its guarantee fee.

7. Dispute resolution

7.1. All disputes related to this Agreement, its conclusion or arising in the course of fulfillment of the terms of this Agreement shall be resolved through negotiations between the Parties.

If the dispute cannot be resolved through negotiations, it shall be resolved in court in accordance with the established jurisdiction and jurisdiction of such dispute, in accordance with the laws of Ukraine.

8. Concluding remarks

8.1. The Parties shall keep confidentiality and secrecy of information related to this Agreement.

8.2. The Parties shall have the right to conduct electronic correspondence through official e-mail addresses. All notices under this Agreement shall be deemed to have been duly delivered if sent to the other Party by e-mail or in any other manner agreed by the Parties.

8.3. By concluding this Agreement, the authorized representatives of the Parties give their consent (permission) to the processing of their personal data in order to confirm the authority of the respective entity.

By signing this Agreement, the representatives of the Parties confirm that they have been notified of their rights in accordance with Article 8 of the Law of Ukraine “On Personal Data Protection”.

8.4. All legal relations arising in connection with the fulfillment of the terms of this Agreement and not regulated by it shall be governed by the Auction Rules and the current legislation of Ukraine.

9. DETAILS:

Auction organizer	**Auction participant**
UKRAINIAN ENERGY EXCHANGE **Limited Liability Company**	_____ _____
Legal address: 01001, Ukraine, Kyiv, 44 Khreshchatyk St. Bank details: _____ _____ EDRPOU code 37027819 ITN 370278126594 tel. :_____ e-mail: _____ _____	Legal address: _____ Bank details: _____ _____ EDRPOU code _____ ITN _____ _____ tel.:_____ e-mail: _____
_____ _____	_____ _____

NOTICE (to be issued only by individual entrepreneurs)

In pursuance of Part 2 of Article 12 of the Law of Ukraine "On Personal Data Protection" dated 01.06.2010 No. 2297-VI (hereinafter referred to as the Law No. 2297), **UKRAINIAN ENERGY EXCHANGE, a Limited Liability Company** (hereinafter referred to as the Exchange), informs that your personal data is included in the **Personal Database "Individuals whose personal data is processed in the course of business activities** " (hereinafter referred to as the Personal Database), owned by the Exchange. Composition and content of personal data processed in the Personal Data Base: identification data (surname, name, patronymic (if any); passport data (other identity documents), registration number of the taxpayer's account card (if any); place of residence according to the state registrationpayment details in banking institutions; documents on state registration; documents confirming the status of a taxpayer; data required by law in the field of financial monitoring; electronic identification data (e-mail address, website, telephone) and others. Personal data in the Personal Data Base is processed in automated record-keeping systems, electronic trading systems, and in the form of files (in agreements, contracts, acts of acceptance of services rendered (work performed), invoices, registration logs, organizational and administrative documents, accounting documents, reporting and accounting forms, other paper documents containing personal data), as well as using other software products (Word, Excel, etc.). Your personal data will be processed exclusively by the Exchange's employees who have provided written obligations not to disclose personal data entrusted to them or which became known to them in connection with the performance of their professional and official duties. Pursuant to Article 14 of Law 2297, the Exchange is allowed to transfer (disseminate) personal data of individuals without their consent or authorized persons in cases specified by law, and only (if necessary) in the interests of national security, economic welfare, human rights and for the All-Ukrainian Population Census; in other cases, access to personal data is provided to third parties only with the written consent of the individual on a case-by-case basis. The purpose of processing (including collection) of your personal data is to ensure sales, contractual, tax and accounting relations (in accordance with the Tax Code of Ukraine, the Civil Code of Ukraine, the Commercial Code of Ukraine, the Law of Ukraine "On Accounting and Financial Reporting in Ukraine", etc. in accordance with the Charter of the Exchange); to provide services for organizing trade in products on commodity exchanges; to prevent the use of the Exchange as a subject of primary financial monitoring for money laundering, According to Article 8 of Law No. 2297, you, as a personal data subject, have the right to: 1) to know about the sources of collection, location of your personal data, the purpose of their processing, the location of the owner of personal data or to give an appropriate order to obtain this information to persons authorized by you, except in cases established by law; 2) to receive information on the conditions for granting access to personal data, in particular information about third parties to whom your personal data is transferred; 3) to access your personal data; 4) to receive a response on whether your personal data is processed no later than 30 calendar days from the date of receipt of the request, except as provided by law, and to receive the content of such personal data; 5) to submit a reasoned request to the personal data controller with an objection to the processing of your personal data; 6) to submit a reasoned request to change or destroy your personal data by the personal data controller if this data is processed illegally or is unreliable; 7) to protect your personal data from illegal processing and accidental loss, destruction, damage due to intentional concealment, failure to provide or untimely provision of your personal data, as well as to protect against the provision of information that is unreliable or discrediting the honor, dignity and business reputation of an individual; 8) to file complaints about the processing of your personal data with the Ukrainian Parliament Commissioner for Human Rights or the court; 9) to apply for legal remedies in case of violation of the legislation on personal data protection; 10) to make reservations regarding the restriction of the right to process your personal data when giving consent or entering into a transaction; 11) to withdraw consent to the processing of personal data; 12) to know the mechanism of automatic processing of personal data; 13) to be protected against an automated decision that has legal consequences for you.

Sample message received: _____ _____

«___»_____20__

(signature of the individual) **(initials, surname of the individual)**

Appendix 3
to the Regulations on the Organization and Conduct of Electronic Auctions for the Purchase and Sale of Guarantees of Origin of Electricity Generated from Renewable Energy Sources on the Commodity Exchange - Ukrainian Energy Exchange Limited Liability Company

Printed on a letterhead

POWER OF ATTORNEY № ___

_____ «____» _____ 20___

_____,
(full name of the legal entity)

EDRPOU code _____,

_____,
(location)

In the person of _____, acting on the basis of _____, (hereinafter referred to as the "Trustee"), hereby authorizes _____
(Full name)

(passport series _____ No. _____ issued by _____ __.___. _____, registration number of the taxpayer's account card _____ (hereinafter referred to as the "Representative"), to represent the Principal's interests at electronic auctions for the purchase and sale of guarantees of origin of electricity generated from renewable energy sources, organized and conducted on the commodity exchange - Ukrainian Energy Exchange Limited Liability Company, for which he is granted the following powers:

- receive a username (login) and password for access to the Exchange Electronic Trading System Software Product in the Electric Power version;

- to participate in electronic auctions for the purchase and sale of guarantees of origin of electricity generated from renewable energy sources of electricity and take all necessary actions, in particular, but not exclusively, to submit applications for placing a position within the auction and/or applications for participation in the auction, to conclude auction certificates (by signing electronic documents by affixing an electronic signature, in accordance with the Regulations for organizing and conducting electronic auctions for the purchase and sale of guarantees of origin of electric energy produced from renewable energy sources, on the commodity exchange - Ukrainian Energy Exchange Limited Liability Company) and provide (sign) to Ukrainian Energy Exchange Limited Liability Company all necessary documents for participation in auctions, as well as documents related to the execution of auction certificates;

- to submit to the Ukrainian Energy Exchange Limited Liability Company and to receive from the Ukrainian Energy Exchange Limited Liability Company the necessary information for the proper and full execution of the powers specified in this power of attorney;

- exercise any other powers, without exception, on behalf of and in the interests of the Principal, **defined by the Agreement on the organization and participation in auctions for the purchase and sale of guarantees of origin of electricity produced from renewable energy sources, as well as the Regulations for the organization and conduct of electronic auctions for the purchase and sale guarantee of the origin of electric energy produced from renewable energy sources on the commodity exchange - Ukrainian Energy Exchange Limited Liability Company.**

The power of attorney is issued without the right of subrogation and is valid until the termination of the right of the Principal to participate in electronic auctions specified by this Power of Attorney.

Signature _____ certify.
(full name of representative) (sample of signature)

_____ /_____/
(title of the manager's position) (signature) (full name)

SEAL

FOR IPE (INDIVIDUAL PERSON ENTREPRENEUR) - subject to notarization

POWER OF ATTORNEY № ___

_____ «____» _____ 20___

_____,
(Full name of IPE)

registration number of the taxpayer's registration card,

_____,
(location of registration)

state registration of which has been carried out _____ under the registration number in the EDRYUOFOPGF (hereinafter - the "Trustee"), with this power of attorney authorizes

_____
(full name)

(passport series _____ № _____ issued _____ __.___. _____ registration number of the taxpayer's registration card _____ (hereinafter - the "Representative"), to represent the interests of the Principal at electronic auctions for the purchase and sale of guarantees of origin of electric energy produced from renewable energy sources, the organization and conduct of which is carried out on the commodity exchange - the Ukrainian Energy Exchange Limited Liability Company, for which he the following powers are granted:

- to receive a user name (login), access password to the "Exchange Electronic Trading System" Software Product in the "Electric Power" version;

- participate in electronic auctions for the purchase and sale of guarantees of origin of electric energy produced from renewable energy sources of electricity and to take all necessary actions, in particular, but not exclusively, to submit applications for placing a position within the auction and/or applications for participation in the auction, to conclude auction certificates (by signing electronic documents by imposing an electronic signature, in accordance with the Regulations for the organization and conduct of electronic auctions for the purchase and sale of guarantees of origin of electric energy produced from renewable energy sources on the commodity exchange - Ukrainian Energy Exchange Limited Liability Company) and provide (sign) to the Ukrainian Energy Exchange Limited Liability Company » all necessary documents for participation in auctions, as well as documents related to the execution of auction certificates;

- to submit to the Ukrainian Energy Exchange Limited Liability Company and to receive from the Ukrainian Energy Exchange Limited Liability Company the necessary information for the proper and full execution of the powers specified in this power of attorney;

- exercise any other powers, without exception, on behalf of and in the interests of the Trustee, **defined by the Agreement on the organization and participation in auctions for the purchase and sale of guarantees of origin of electric energy produced from renewable energy sources, as well as the Regulations for the organization and conduct of electronic auctions for the purchase and sale guarantee of the origin of electricity produced from renewable energy sources on the commodity exchange - Ukrainian Energy Exchange Limited Liability Company.**

The power of attorney is issued without the right of subrogation and is valid until the termination of the Principal's right to participate in electronic auctions specified by this Power of Attorney.

Signature _____ certify.
(full name of the representative) (sample of the signature)

_____ /_____/
(full name of the IPE) (signature) (full name)